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On F-transforms with spline based generalized fuzzy partitions

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This talk is devoted to F-transform (fuzzy transform). The core idea of fuzzy transform is inwrought with an interval fuzzy partitioning into fuzzy subsets, determined by their membership functions. In this work we consider polynomial splines of degree m and defect 1 with respect to the mesh of an interval with some additional nodes. The idea of the direct F-transform is transformation from a function space to a finite dimensional vector space. The inverse F-transform is transformation back to the function space.

Fuzzy partitions

Let $\Delta_n = \{x_1, x_2, \dots, x_n \mid x_1 < x_2 < \dots < x_n\}$ be a fixed mesh of $[a, b]$, such that $x_1 = a$, $x_n = b$ and $n \geq 2$.

We consider two types of fuzzy partitions:

- usual (fuzzy partition)
which consists of membership functions A_k , with support $[x_{k-1}, x_{k+1}] \cap [a, b]$ for $k = 1, \dots, n$;
- generalized (fuzzy m-partition)
which consists of membership functions $A_k^{(m)}$ with support $[x_{k-m}, x_{k+m}] \cap [a, b]$ for $k = -m + 2, \dots, n + m - 1$;

The fuzzy transform was proposed by I. Perfilieva in 2003 and studied in several papers

- [1] **I. Perfilieva**, Fuzzy transforms: Theory and applications, *Fuzzy Sets and Systems*, 157 (2006) 993–1023.
- [2] **I. Perfilieva**, Fuzzy transforms, in: J.F. Peters, et al. (Eds.), Transactions on Rough Sets II, Lecture Notes in Computer Science, vol. 3135, 2004, pp. 63–81.
- [3] **L. Stefanini**, F- transform with parametric generalized fuzzy partitions, *Fuzzy Sets and Systems* 180 (2011) 98–120.
- [4] **I. Perfilieva, V. Kreinovich**, Fuzzy transforms of higher order approximate derivatives: A theorem , *Fuzzy Sets and Systems* 180 (2011) 55–68.

Classical fuzzy partition

We say that fuzzy sets A_1, A_2, \dots, A_n , identified with their membership functions $A_1(x), A_2(x), \dots, A_n(x)$ defined on $[a, b]$, form fuzzy partition of $[a, b]$ if they fulfill the following conditions for $k = 1, 2, \dots, n$:

- 1) $A_k: [a, b] \rightarrow [0, 1]$, $A_k(x_k) = 1$;
- 2) $A_k(x) = 0$, if $x \notin (x_{k-1}, x_{k+1})$ where for the uniformity of denotation, we put $x_0 = a$ un $x_{n+1} = b$;
- 3) A_k is continuous;
- 4) A_k , if $k = 2, \dots, n$, strictly increases on $[x_{k-1}, x_k]$ and A_k , $k = 1, \dots, n - 1$, strictly decreases on $[x_k, x_{k+1}]$;

5) for all $x \in [a, b]$
$$\sum_{k=1}^n A_k(x) = 1;$$

6) $A_k(x_k - x) = A_k(x_k + x)$, for all $x \in [0, h]$, $k = 2, \dots, n$;

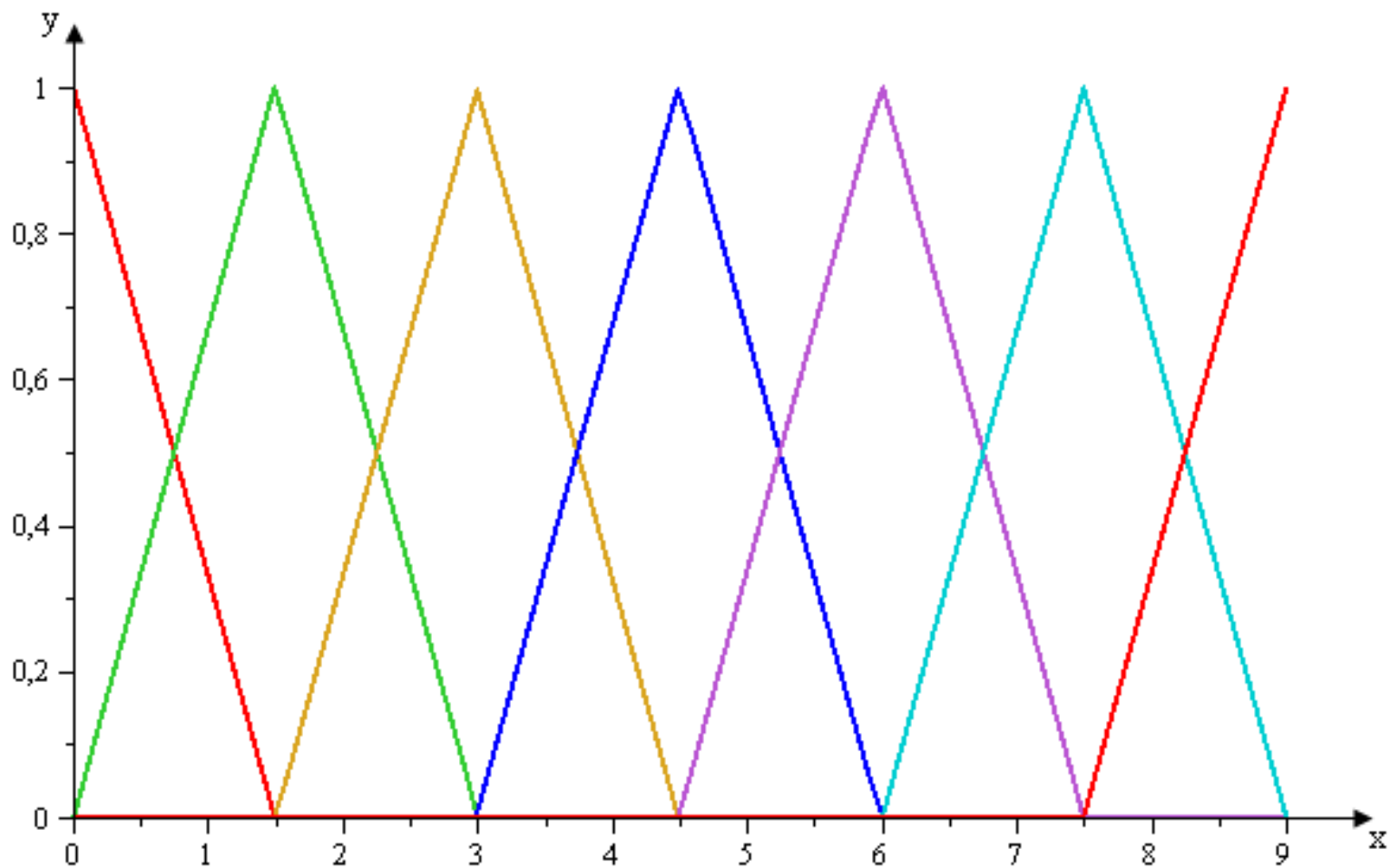
7) $A_k(x) = A_{k-1}(x - h)$, for all $k = 2, \dots, n - 1$ and $x \in [x_k, x_{k+1}]$
and $A_{k+1}(x) = A_k(x - h)$, for all $k = 2, \dots, n - 1$ and $x \in [x_{k-1}, x_k]$.

The membership functions A_1, A_2, \dots, A_n are called basic functions.

In this talk we consider splines of degree m and defect 1 with respect to $\Delta_{m,n}$ ($\Delta_{m,n}$ is an extension of Δ_n) as basic functions of the fuzzy partition

$$A_k^m \in S_{m,1}(\Delta_{m,n}), k = 1, 2, \dots, n.$$

The first degree splines ($m = 1$) are triangular shaped basic functions. Next we will also consider the second and the third degree splines. And through this talk we are going to slightly modify notations by adding the degree of a spline used for constructing basic functions in superscript.



An uniform fuzzy partition of the interval $[0, 9]$ by fuzzy sets with triangular shaped membership functions $n=7$

Polynomial splines

Let $\Delta_{m,n} = \{t_1, t_2, \dots, t_N \mid a = t_1 < t_2 < \dots < t_N = b\}$ be a given mesh for the interval $[a, b]$.

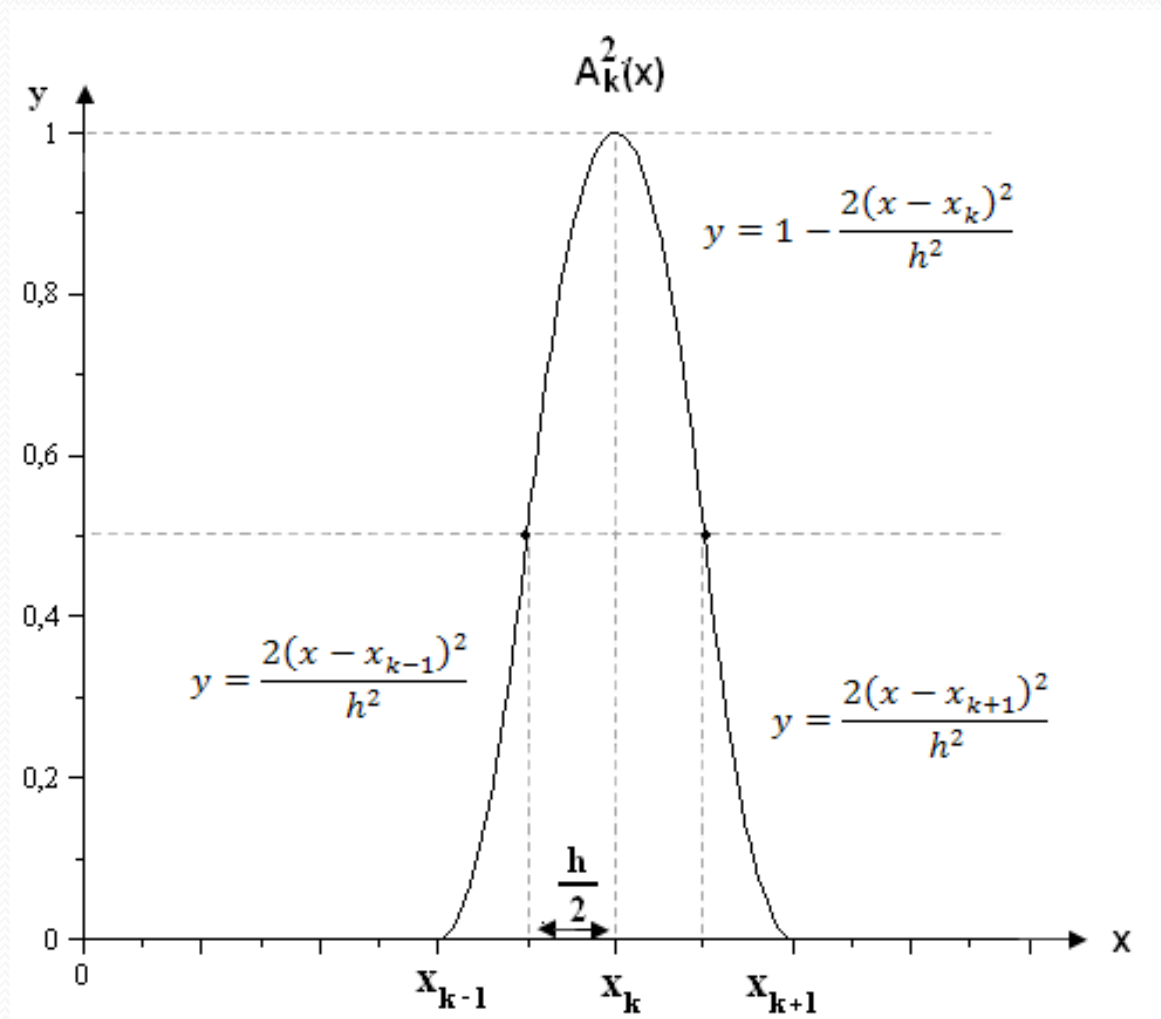
$S_{m,1}(\Delta_{m,n})$ is the space of polynomial splines of degree m and defect 1 with respect to $\Delta_{m,n}$.

$s \in S_{m,1}(\Delta_{m,n})$:

- s is a polynomial of degree m on each interval $[t_{i-1}, t_i]$, $i = 2, \dots, N$;
- $s \in C^{m-1}[a, b]$

$$s(x) = \sum_{j=0}^m \alpha_j x^j + \sum_{i=2}^{N-1} \beta_i (x - t_i)_+^m$$

Quadratic spline construction

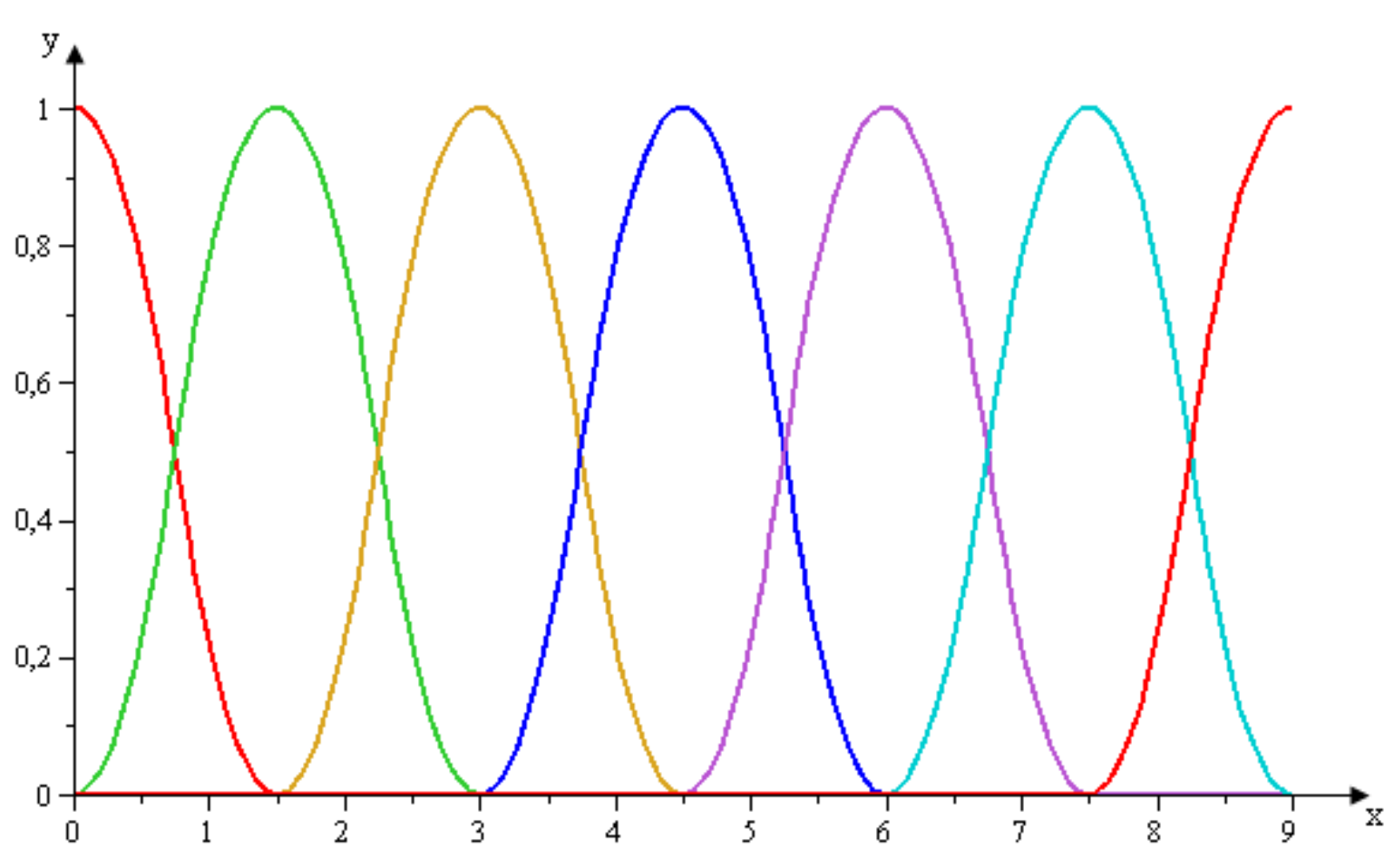


Quadratic spline membership functions

$$A_1^2(x) = \begin{cases} 1 - \frac{2(x - x_1)^2}{h^2}, & x \in \left[x_1, x_1 + \frac{h}{2} \right], \\ \frac{2(x - x_2)^2}{h^2}, & x \in \left[x_1 + \frac{h}{2}, x_2 \right], \\ 0, & x \in [x_2, x_n], \end{cases}$$

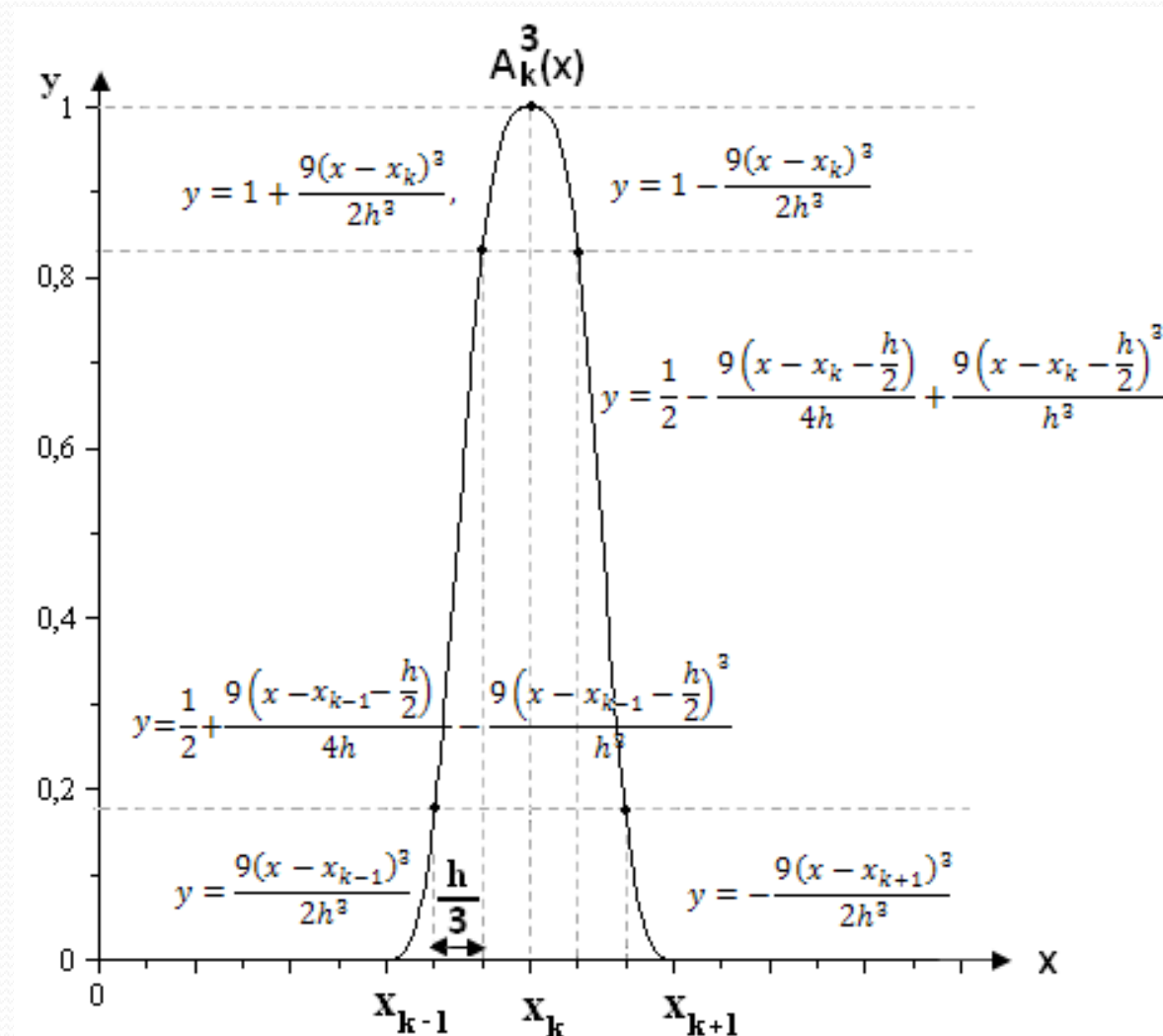
$$A_k^2(x) = \begin{cases} \frac{2(x - x_{k-1})^2}{h^2}, & x \in \left[x_{k-1}, x_{k-1} + \frac{h}{2} \right], \\ 1 - \frac{2(x - x_k)^2}{h^2}, & x \in \left[x_{k-1} + \frac{h}{2}, x_k + \frac{h}{2} \right], \\ \frac{2(x - x_{k+1})^2}{h^2}, & x \in \left[x_k + \frac{h}{2}, x_{k+1} \right], \\ 0, & x \in [x_1, x_{k-1}] \cup [x_{k+1}, x_n], \end{cases}$$

$$A_n^2(x) = \begin{cases} \frac{2(x - x_{n-1})^2}{h^2}, & x \in \left[x_{n-1}, x_{n-1} + \frac{h}{2} \right], \\ 1 - \frac{2(x - x_n)^2}{h^2}, & x \in \left[x_{n-1} + \frac{h}{2}, x_n \right], \\ 0, & x \in [x_1, x_{n-1}], \end{cases}$$



An uniform fuzzy partition of the interval $[0, 9]$ by fuzzy sets with quadratic spline membership functions $n=7$

Cubic spline construction

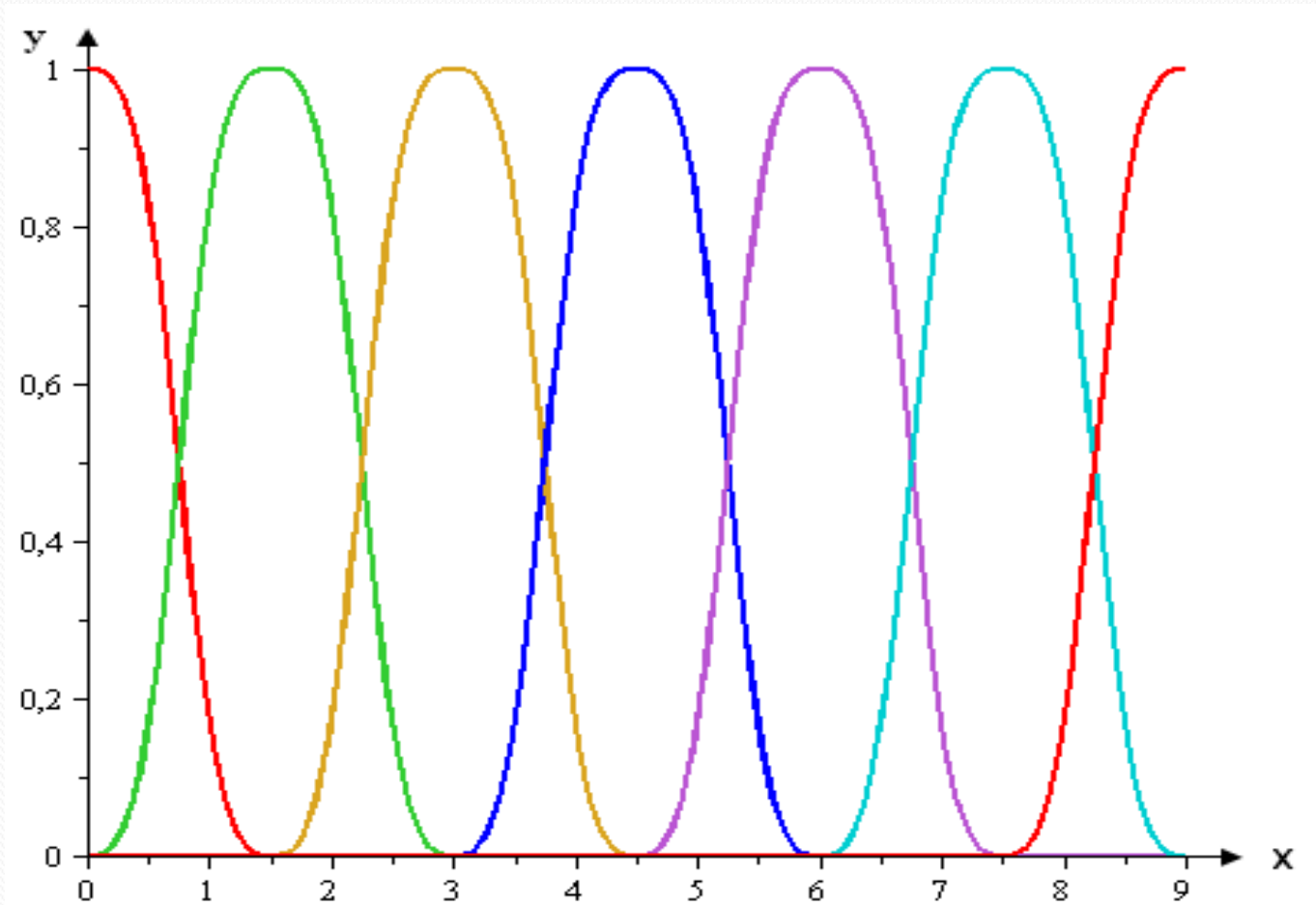


Cubic spline membership functions

$$A_k^3(x) = \begin{cases} \frac{9(x - x_{k-1})^3}{2h^3}, & x \in \left[x_{k-1}, x_{k-1} + \frac{h}{3} \right], \\ \frac{1}{2} + \frac{9\left(x - x_{k-1} - \frac{h}{2}\right)}{4h} - \frac{9\left(x - x_{k-1} - \frac{h}{2}\right)^3}{h^3}, & x \in \left[x_{k-1} + \frac{h}{3}, x_{k-1} + \frac{2h}{3} \right], \\ 1 + \frac{9(x - x_k)^3}{2h^3}, & x \in \left[x_{k-1} + \frac{2h}{3}, x_k \right], \\ 1 - \frac{9(x - x_k)^3}{2h^3}, & x \in \left[x_k, x_k + \frac{h}{3} \right], \\ \frac{1}{2} - \frac{9\left(x - x_k - \frac{h}{2}\right)}{4h} + \frac{9\left(x - x_{k-1} - \frac{h}{2}\right)^3}{h^3}, & x \in \left[x_k + \frac{h}{3}, x_k + \frac{2h}{3} \right], \\ \frac{9(x - x_{k+1})^3}{2h^3}, & x \in \left[x_k + \frac{2h}{3}, x_{k+1} \right], \\ 0, & x \in [x_1, x_{k-1}] \cup [x_{k+1}, x_n], \end{cases}$$

$$A_1^3(x) = \begin{cases} 1 - \frac{9(x - x_1)^3}{2h^3}, & x \in \left[x_1, x_1 + \frac{h}{3} \right], \\ \frac{1}{2} - \frac{9\left(x - x_1 - \frac{h}{2}\right)}{4h} - \frac{9\left(x - x_1 - \frac{h}{2}\right)^3}{h^3}, & x \in \left[x_1 + \frac{h}{3}, x_1 + \frac{2h}{3} \right], \\ -\frac{9(x - x_2)^3}{2h^3}, & x \in \left[x_1 + \frac{2h}{3}, x_2 \right], \\ 0, & x \in [x_2, x_n], \end{cases}$$

$$A_n^3(x) = \begin{cases} \frac{9(x - x_{n-1})^3}{2h^3}, & x \in \left[x_{n-1}, x_{n-1} + \frac{h}{3} \right], \\ \frac{1}{2} + \frac{9\left(x - x_{n-1} - \frac{h}{2}\right)}{4h} + \frac{9\left(x - x_{n-1} - \frac{h}{2}\right)^3}{h^3}, & x \in \left[x_{n-1} + \frac{h}{3}, x_{n-1} + \frac{2h}{3} \right], \\ 1 + \frac{9(x - x_n)^3}{2h^3}, & x \in \left[x_{n-1} + \frac{2h}{3}, x_n \right], \\ 0, & x \in [x_1, x_{n-1}], \end{cases}$$



An uniform fuzzy partition of the interval $[0, 9]$ by fuzzy sets with cubic spline membership functions $n=7$

On additional nodes

Generally basic functions are specified by the mesh:

$$\Delta_n = \{x_1, x_2, \dots, x_n | a = x_1 < x_2 < \dots < x_n = b\},$$

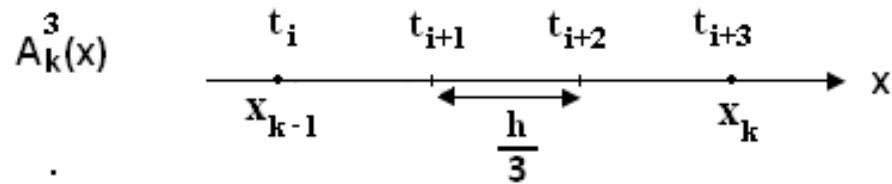
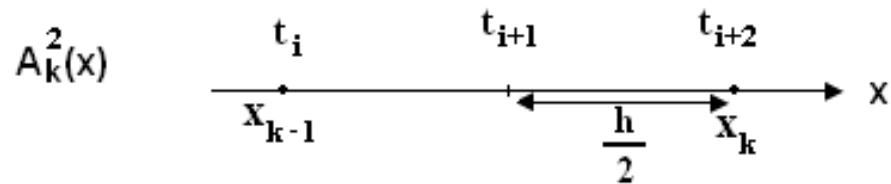
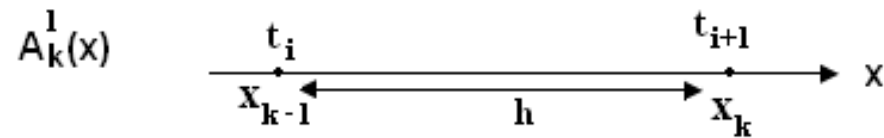
but when we construct those functions with the help of polynomial splines we use additional nodes, this extended mesh:

$$\Delta_{m,n} = \{t_1, t_2, \dots, t_N | a = t_1 < t_2 < \dots < t_N = b\},$$

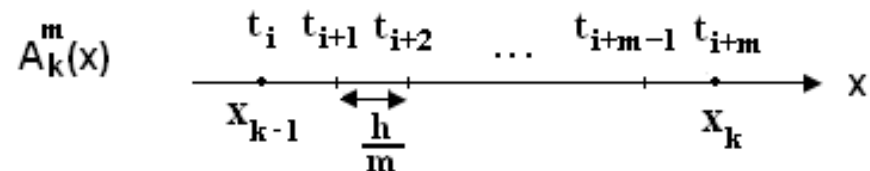
where N directly depends on the degree of a spline used for constructing basic functions and the number of nodes in the original mesh

$$N = m(n - 1).$$

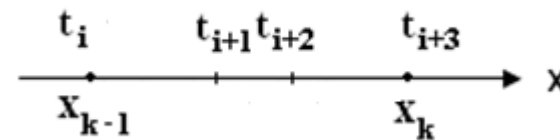
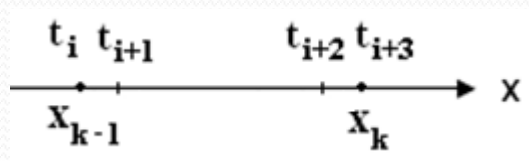
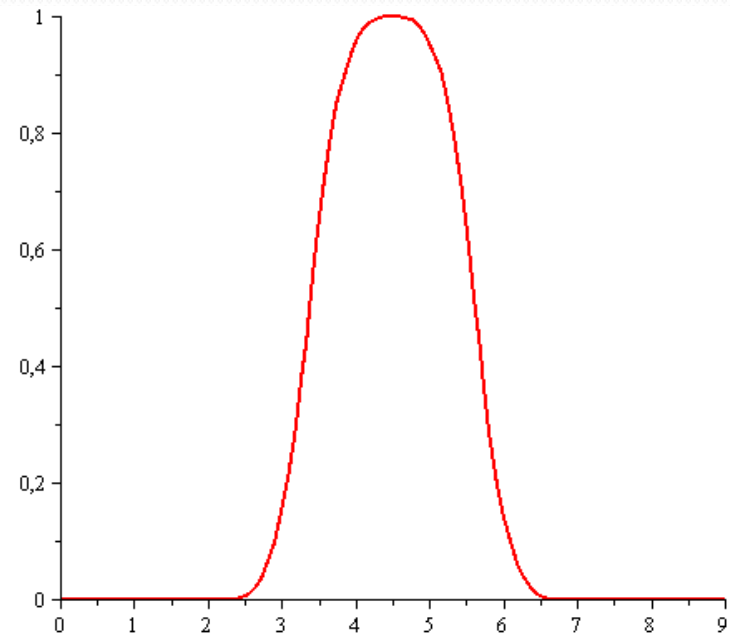
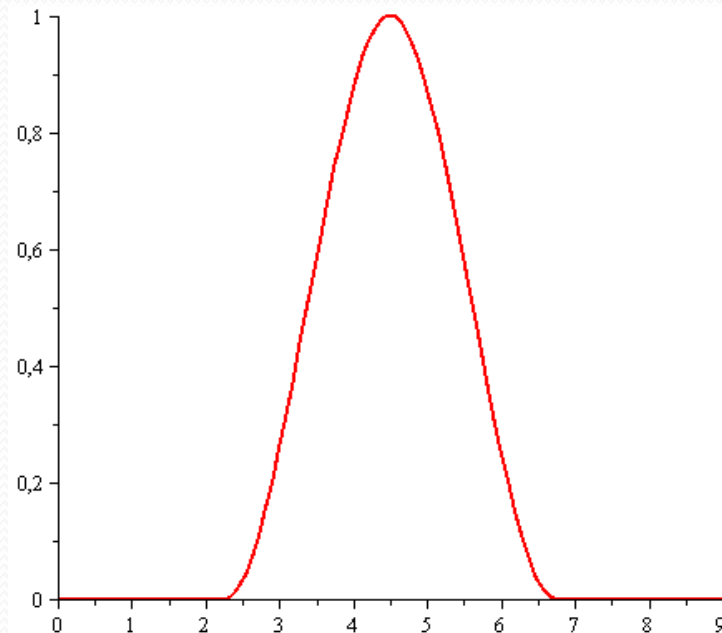
Additional nodes for splines with degree 1,2,3, ..., m (uniform partition)



\vdots



We consider additional nodes as parameters of fuzzy partition: the shape of basic functions depends of the choise of additional nodes



Direct F – transform

Let $A_1^m, A_2^m, \dots, A_n^m$ be basic functions which form a fuzzy partition of $[a, b]$ and f be any function from $C([a, b])$. We say n -dimensional vector $F^m[f] = (F_1^m, F_2^m, \dots, F_n^m)$ given by

$$F_k^m = \frac{\int_a^b f(x) A_k^m(x) dx}{\int_a^b A_k^m(x) dx}, \quad k = 1, 2, \dots, n,$$

is the F-transform of f with respect to $A_1^m, A_2^m, \dots, A_n^m$.

If partition $A_1^m, A_2^m, \dots, A_n^m$ of $[a, b]$ is uniform then components of the F – transform F_k^m may be simplified

$$F_1^m = \frac{2}{h} \int_{x_1}^{x_2} f(x) A_1^m(x) dx,$$

$$F_k^m = \frac{1}{h} \int_{x_{k-1}}^{x_{k+1}} f(x) A_k^m(x) dx, \quad k = 2, \dots, n-1,$$

$$F_n^m = \frac{2}{h} \int_{x_{n-1}}^{x_n} f(x) A_n^m(x) dx.$$

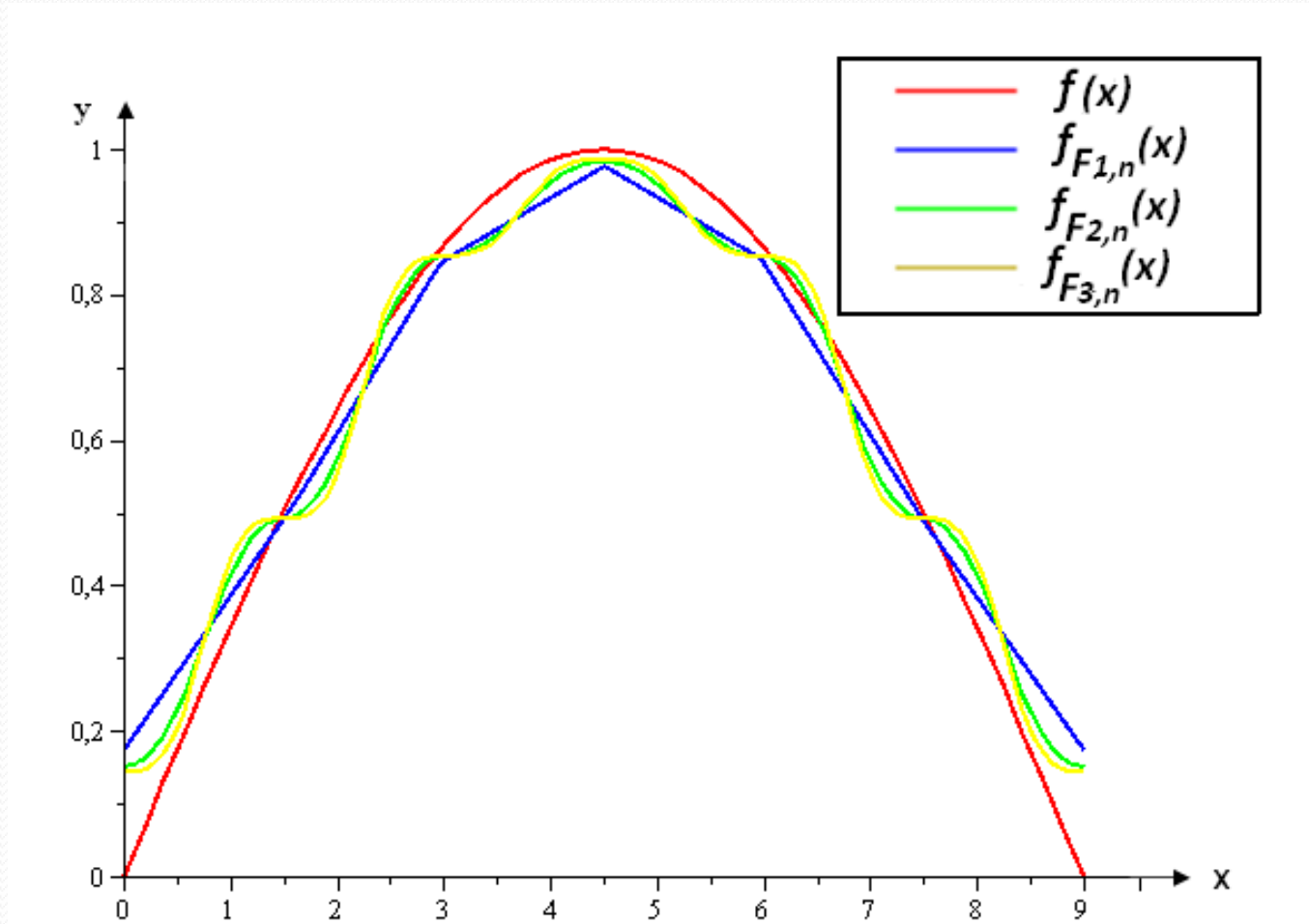
Inverse F-transform

Let $A_1^m, A_2^m, \dots, A_n^m$ be basic functions which form a fuzzy partition of $[a, b]$ and f be any function from $C([a, b])$. Let $F^m[f] = (F_1^m, F_2^m, \dots, F_n^m)$ be the F - transform of f with respect to $A_1^m, A_2^m, \dots, A_n^m$. Then the function

$$f_{F,m,n}(x) = \sum_{k=1}^n F_k^m A_k^m(x)$$

is called the inverse F - transform.

Inverse F-transforms of the test function $f(x)=\sin(x\pi/9)$



Extension of the original mesh

To extend Δ_n let us take $2(2m-1)$ additional nodes outside the interval $[a, b]$ and denote $\bar{a} = x_{-2m+2}$ and $\bar{b} = x_{n+2m-1}$. Our new mesh:

$$\Delta_n^{(m)} = \{x_{-2m+2}, x_{-2m+3}, \dots, x_{n+2m-1} \mid \bar{a} = x_{-2m+2} < x_{-2m+3} < \dots < x_{n+2m-1} = \bar{b}\}.$$

Generalized fuzzy m -partition

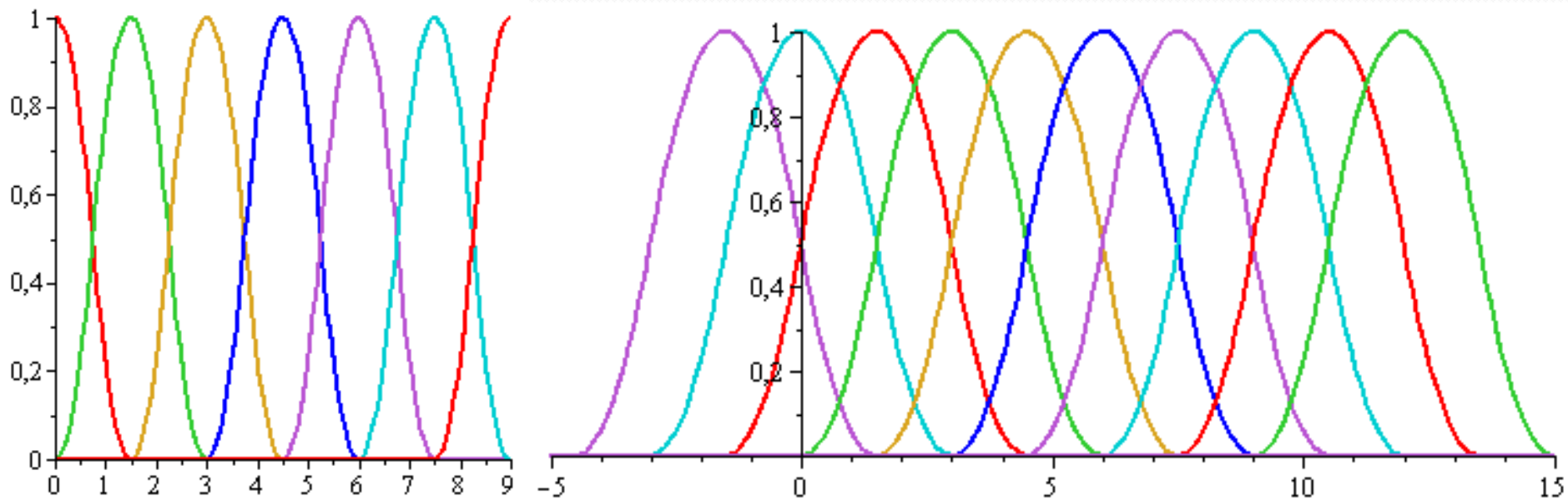
We say that fuzzy sets $A_{-m+2}^{(m)}, A_{-m+3}^{(m)}, \dots, A_{n+m-1}^{(m)}$, identified with their membership functions $A_{-m+2}^{(m)}(x), A_{-m+3}^{(m)}(x), \dots, A_{n+m-1}^{(m)}(x)$ ($n + 2m - 2$ continuous functions) defined on $[\bar{a}, \bar{b}]$, form uniform fuzzy m -partition of $[a, b]$ if they fulfill the following conditions for $k = -m + 2, \dots, n + m - 1$:

- 1) $A_k^{(m)}: [\bar{a}, \bar{b}] \rightarrow [0, 1], A_k^{(m)}(x_k) = 1$;
- 2) $A_k^{(m)}(x) = 0$ for $x \notin [x_{k-m}, x_{k+m}]$;
- 3) $A_k^{(m)}$ is a continuous function;

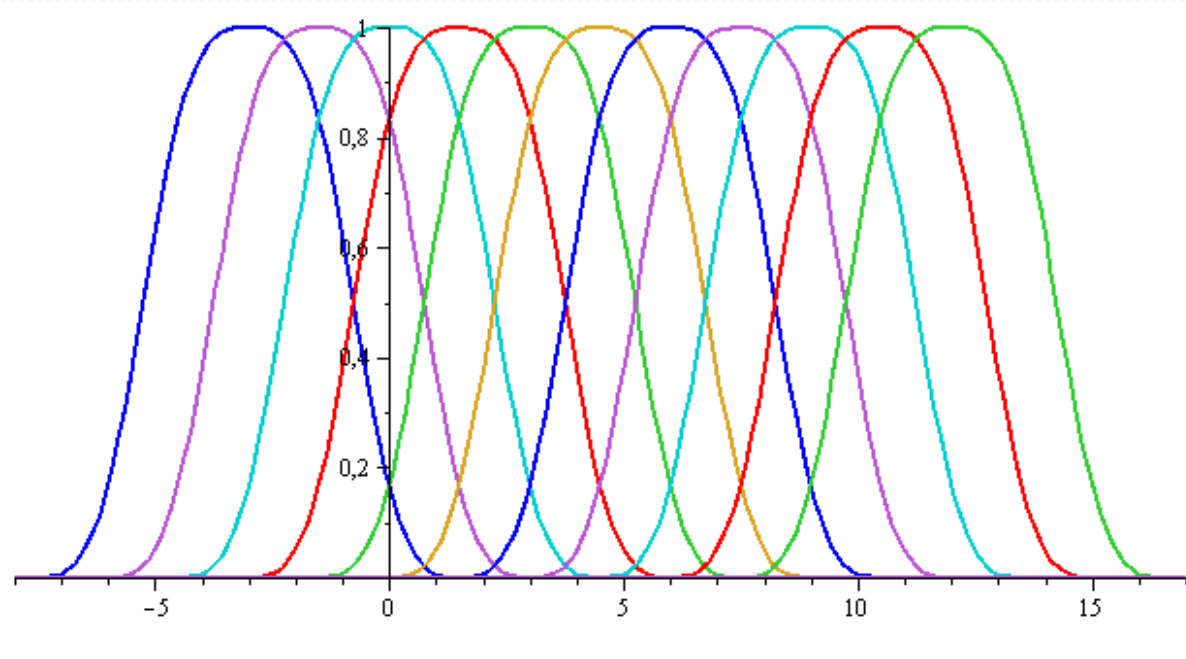
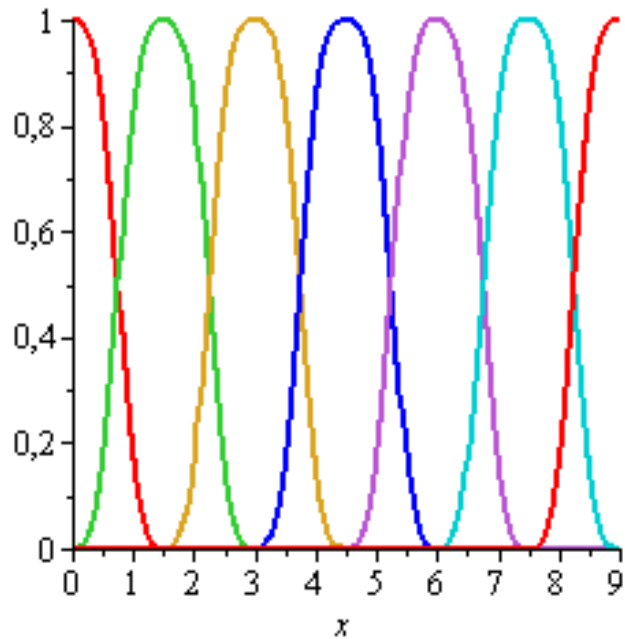
- 4) $A_k^{(m)}$ is decreasing on $[x_k, x_{k+m}]$;
- 5) $A_k^{(m)}$ is increasing on $[x_{k-m}, x_k]$;
- 6) $A_k^{(m)}(x_k - x) = A_k^{(m)}(x_k + x)$, for all $x \in [0, mh]$;
- 7) $A_k^{(m)}(x) = A_{k-1}^{(m)}(x - h)$, for all $x \in [x_{k-m}, x_{k+m}]$, if
 $k = -m + 3, \dots, n + m - 1$;
- 8) for all $x \in [a, b]$
$$\sum_{k=-m+2}^{n+m-1} A_k^{(m)}(x) = m.$$

In this talk we consider fuzzy m -partition described by splines of degree m and defect 1 with respect to $\Delta_n^{(m)}$ ($\Delta_n^{(m)}$ - an extension of Δ_n).

$$A_k^{(m)} \in S_{m,1}(\Delta_n^{(m)}), k = -m + 2, \dots, n + m - 1.$$



An uniform fuzzy partition and a fuzzy m -partition of the interval $[0, 9]$ based on quadratic spline membership functions, $n=7, m=2$



An uniform fuzzy partition and a fuzzy m -partition of the interval $[0, 9]$ based on cubic spline membership functions, $n=7$, $m=3$

Direct and inverse F -transform with respect to the fuzzy m -partition

The direct F -transform (of integer bandwidth $m \geq 1$) based on the given generalized fuzzy m -partition is defined by the vector $F^{(m)}[f] = (F_{-m+2}^{(m)}, F_2^{(m)}, \dots, F_{n+m-1}^{(m)})$, where

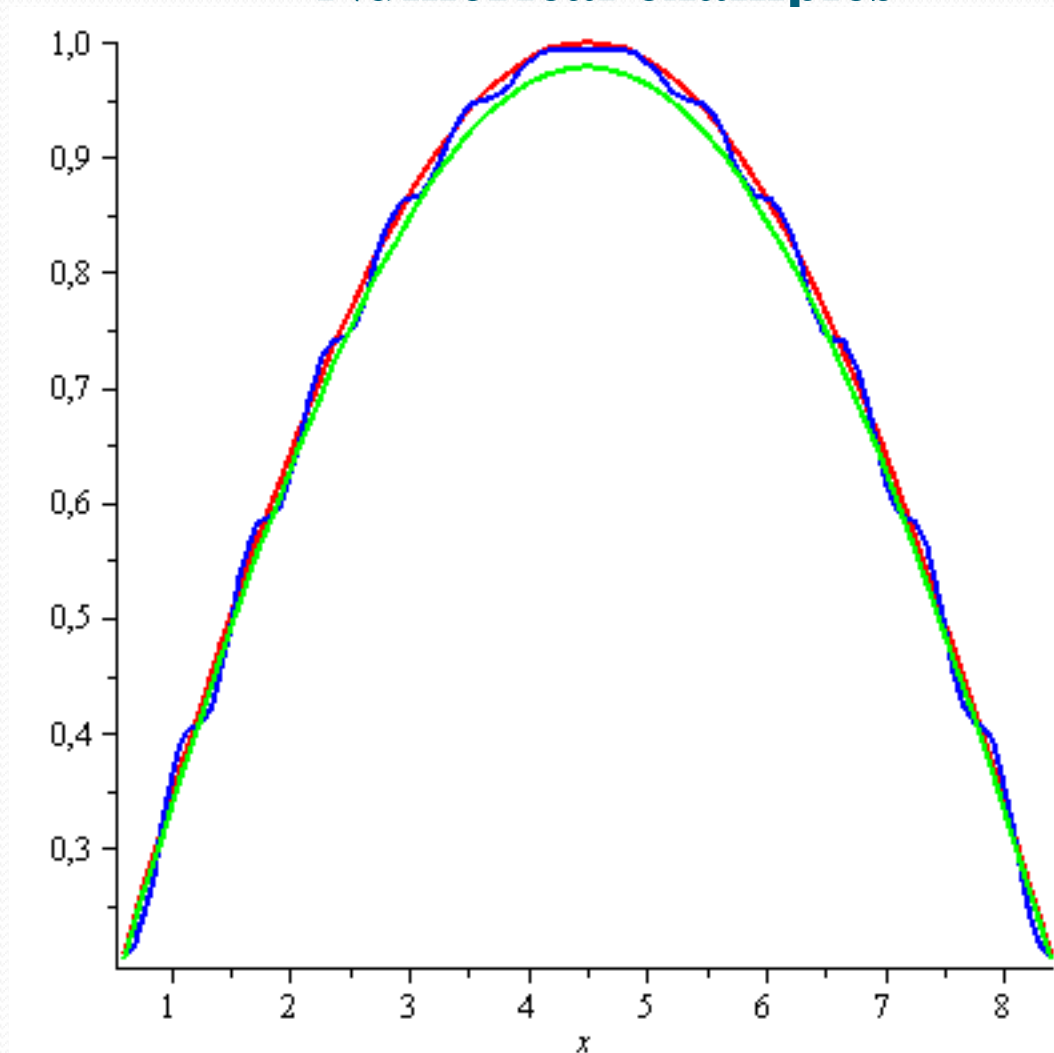
$$F_k^{(m)} = \frac{\int_{x_{k-m}}^{x_{k+m}} f(x) A_k^{(m)}(x) dx}{\int_{x_{k-m}}^{x_{k+m}} A_k^{(m)}(x) dx}$$

for $k = -m + 2, \dots, n + m - 1$.

Correspondingly, the inverse F -transform function (of bandwidth m) is

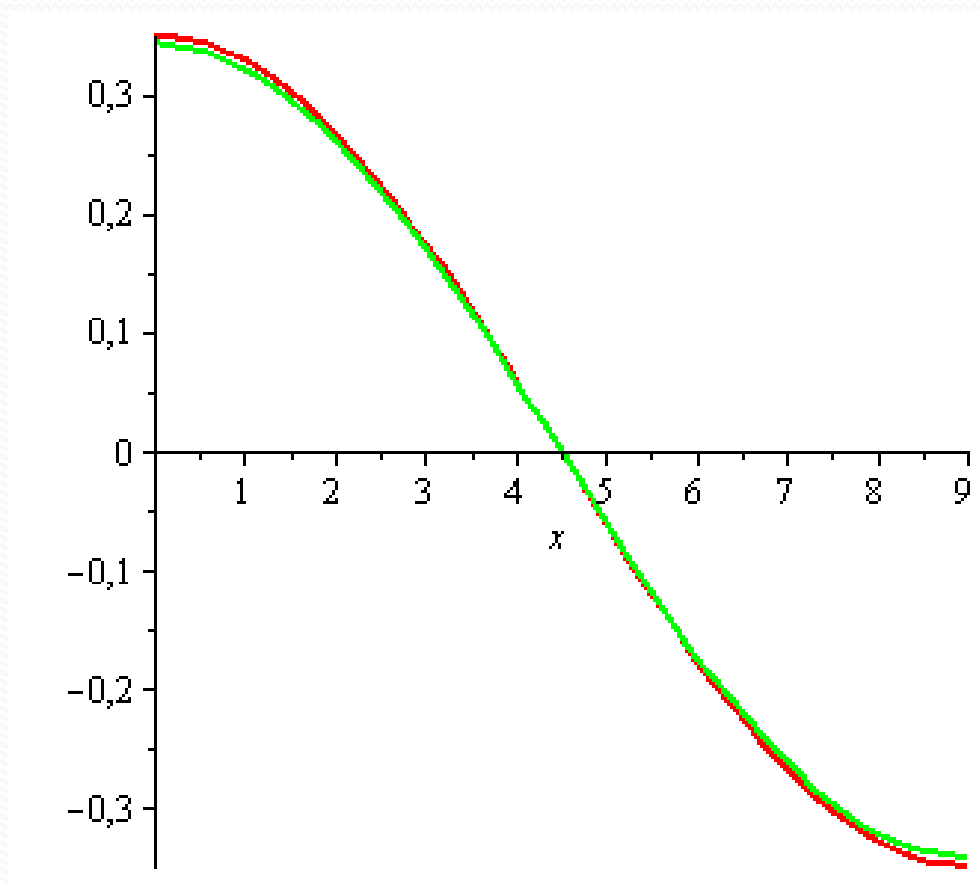
$$f_{F,n}^{(m)}(x) = \frac{1}{m} \sum_{k=-m+2}^{n+m-1} F_k^{(m)} A_k^{(m)}(x).$$

Numerical examples

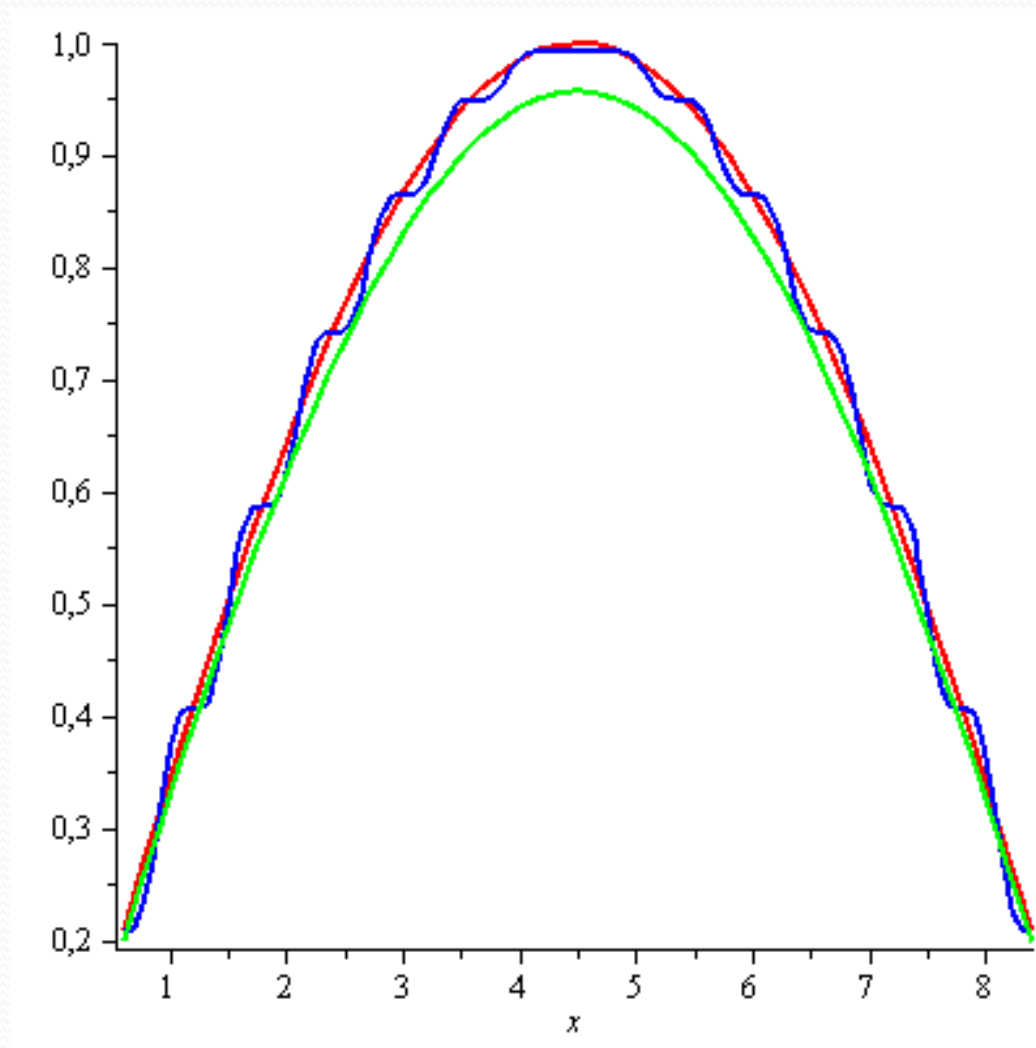


Inverse F -transforms of the test function $f(x)=\sin(x\pi/9)$ in case of quadratic spline basic functions, $n=20, m=2$

Approximation of derivatives

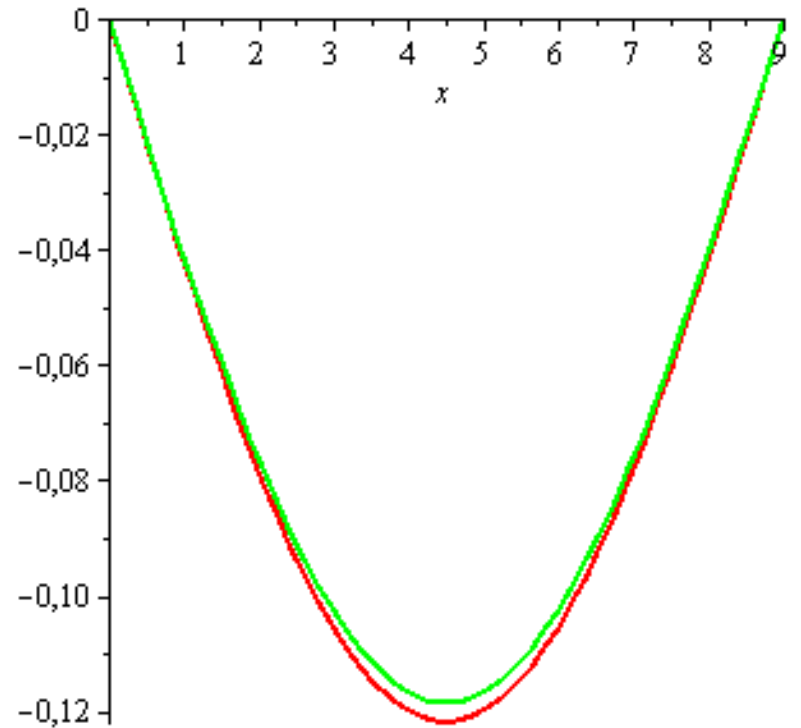
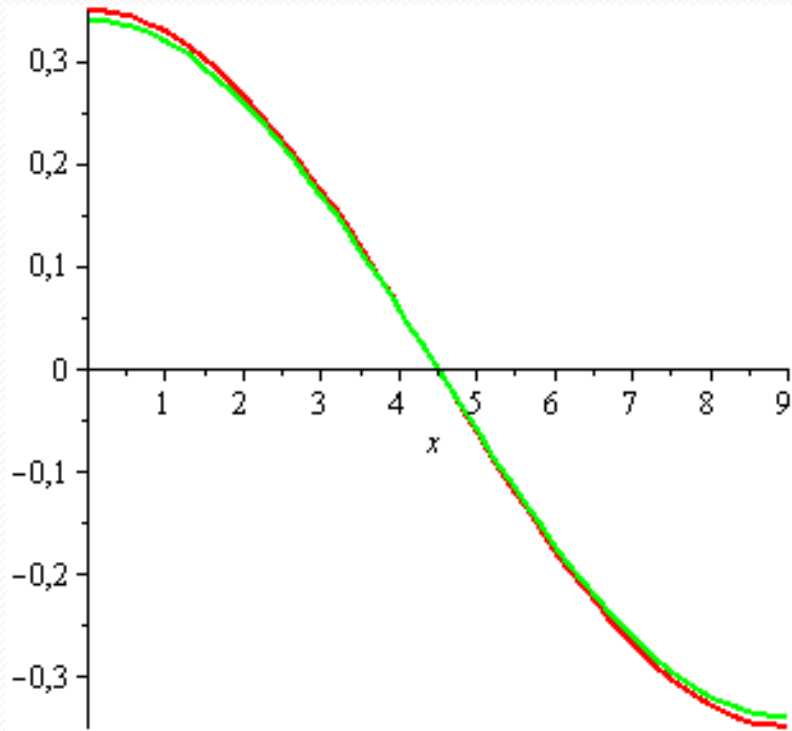


Inverse F -transforms of the test function's $f(x)=\sin(x\pi/9)$ first derivative in case of quadratic spline basic functions, $n=20$, $m=2$



Inverse F -transforms of the test function $f(x)=\sin(x\pi/9)$ in case of cubic spline basic functions, $n=20$, $m=3$

Approximation of derivatives



Inverse F -transforms of the test function's $f(x)=\sin(x\pi/9)$ first and second derivative in case of cubic spline basic functions, $n=20$, $m=3$

Estimations of approximation of derivatives

- F – transform based on quadratic splines, generalized m -partition;

Let f be a continuously differentiable function on $[\bar{a}, \bar{b}]$. Then for each $x \in [a, b]$ the following estimation hold

$$\left| f'(x) - \left(f_{F,n}^{(2)} \right)'(x) \right| \leq \omega(4h, f').$$

- F – transform based on cubic splines, generalized m -partition;

Let f be two times continuously differentiable function on $[\bar{a}, \bar{b}]$. Then for each $x \in [a, b]$ the following estimation hold

$$\left| f''(x) - \left(f_{F,n}^{(3)} \right)''(x) \right| \leq \omega(6h, f''),$$

where $\omega(\delta, g) = \max_{|x-y| \leq \delta} |g(x) - g(y)|$, is the modulus of continuity of g on $[a, b]$.

**Thank you for your
attention!**